IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ross, et al.

Serial No.: 09/134,419

Filed: August 14, 1998

Group Art Unit: 1614c

For: Heterocyclic Esters or Amides for Vision and Memory Disord

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to Applicants' duty of disclosure under 37 C.F.R. §§ 1.56 and 1.97-1.99, the documents listed on the attached Form PTO-1449 are being brought to the attention of the Examiner in charge of the captioned application. No fee is required for this submission because it is submitted within three months from the filing date or before a First Office Action on the merits has been mailed by the U.S. Patent and Trademark Office (USPTO).

A completed Form PTO-1449 is attached hereto. Copies of the cited references are concurrently filed with the Information Disclosure Statement in U.S. Patent Application No. 09/134,422, pursuant to agreement with Examiner Zohreh Fay, Art Group 1614. If additional copies of the references cited herein are required, kindly telephone the undersigned attorney. The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner

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is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

The Examiner is also respectfully requested to cite the following pending applications in the next Office Action to confirm that they have been considered and made of record in this application:

Heterocyclic Ester and Amide Hair Growth Compositions and Uses

U.S. Patent Application Serial No. 09/089,377

Filed: June 3, 1998

Inventors: Steiner, et al.

Pyrrolidine Derivatives for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,423

Filed: August 14, 1998 Inventors: Ross, et al.

Carboxylic Acids and Isosteres of N-Heterocyclic Compounds for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,472

Filed: August 14, 1998 Inventors: Ross, et al.

Pipecolic Acid Derivatives for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,417

Filed: August 14, 1998 Inventors: Ross, et al.

Small Molecule Pipecolic Acid Derivative Compositions and Uses for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,418

Filed: August 14, 1998 Inventors: Ross, et al.

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Compositions and Uses for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,422

Filed: August 14, 1998 Inventors: Ross, et al.

Small Molecule Sulfonamides for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,473

Filed: August 14, 1998 Inventors: Ross, et al.

Small Molecule Carbamates or Ureas for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,475 Filed: August 14, 1998 Inventors: Ross, et al.

Heterocyclic Thioesters or Ketones for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,424

Filed: August 14, 1998 Inventors: Ross, et al.

Carboxylic Acids and Isosteres of Heterocyclic Ring Compounds Having Multiple Heteroatoms for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,476

Filed: August 14, 1998 Inventors: Ross, et al.

N-Oxides of Heterocyclic Ester, Amide, Tioester, or Ketone for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,474

Filed: August 14, 1998 Inventors: Ross, et al.

N-Linked Urea or Carbamate of Heterocyclic Thioester for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,416 Filed: August 14, 1998 Inventors: Ross, et al.

Ureas and Carbamates of N-Heterocyclic Carboxylic Acids and Isosteres for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,420 Filed: August 14, 1998

Inventors: Ross, et al.

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Serial No.: U9/1

N-linked Sulfonamide of Heterocyclic Thioesters for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,421

Filed: August 14, 1998 Inventors: Ross, et al.

N-linked Sulfonamides of N-Heterocyclic Carboxylic Acids or Isosteres for Vision and Memory Disorders

U.S. Patent Application Serial No. 09/134,471

Filed: August 14, 1998 Inventors: Ross, et al.

Copies of the above-identified U.S. patent applications are not required to be submitted under 37 C.F.R. §1.98 (a) (2) (iii).

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned attorney.

Respectfully submitted,

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Date: November 16, 1998

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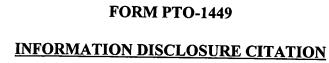
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Attorney Docket 23138S	Serial Number 09/134,419
Applicant	
]	Ross, et al.

Filing Date Group
August 14, 1998

Group Art Unit 1614

U.S. PATENT DOCUMENTS									
Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date		
	AA	5,736,516	4/7/98	Louis	514	12	2/6/97		
	AB	5,700,909	12/23/97	O'Brien	530	326	4/21/94		
	AC	5,693,645	12/2/97	Sharpe, et al.	514	278	12/23/92		
	AD	5,688,765	11/18/97	Sullivan	514	12	6/7/95		
	AE	5,667,968	9/16/97	LaVail, et al.	514	12	11/4/94		
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	AN	5,457,111	10/10/95	Luly, et al.	514	291	11/9/93		
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Document Number Date Country Class Sub-Class Sub-Class lation AO WO 9827975 7/2/98 PCT Yes

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AP

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.

Attorney Docket Serial Number 23138S 09/134.419 **FORM PTO-1449 Applicant** Ross, et al. INFORMATION DISCLOSURE CITATION Filing Date Group Art Unit August 14, 1998 1614 **U.S. PATENT DOCUMENTS** Examiner Document Sub-Filing Date Name Class Initial Number Class Date BA 5,441,977 8/15/95 Russo, et al. 514 411 3/1/94 BB5,441,937 8/15/95 Wallace, et al. 514 21 1/21/94 BC 5,387,589 2/7/95 Kulkarni 514 291 11/17/92 BD5,368,865 11/29/94 Asakura, et al. 424 489 7/27/93 BE 5,284,826 2/8/94 Eberle 514 11 8/27/92 BF 5,258,389 11/2/93 Goulet, et al. 514 291 11/9/92 BG 5,252,319 11/12/93 Babcock, et al. 424 78.04 2/19/92 BH 5,244,902 9/14/93 Sharpe, et al. 514 278 2/5/92 BI 5,198,454 3/30/93 Chiou, et al. 514 369 12/3/91 BJ 5,194,434 3/16/93 Chiou, et al. 514 227.2 12/3/91 BK 5,192,773 3/9/93 Armistead, et al. 514 315 1/2/90 BL5,189,042 2/23/93 Goulet, et al. 514 291 8/22/91 BM 5,011,844 4/30/91 Fehr 514 291 8/25/89 BN 4, 839,342 6/13/89 Kaswan 514 11 9/3/87 FOREIGN PATENT DOCUMENTS Document Sub-Trans-Date Country Class Number Class lation BO OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner

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Date Considered

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